Please note that you need to have an existing CAF Investment Account to be able to transfer your certificated investments. If you do not have one, then please download and complete an application form from www.cafonline.org/investresources.

To transfer your existing certificated investments into your CAF Investment Account, please complete, sign and return this form, together with your share certificate(s) and Crest Transfer Form(s) by post to:

Saving and Investment team, CAF Financial Solutions Limited, 25 Kings Hill Avenue, Kings Hill, West Malling, Kent ME19 4TA.

Please complete this form in BLOCK CAPITALS. Transfers into the CAF Investment Account can only be made by the charitable organisation named on the account and who are also the beneficial owner of the certificated investments. Please note that a completed CREST Transfer Form will be required for each certified investment and share class that you hold.

### Organisation details

<table>
<thead>
<tr>
<th>CAF Investment Account client reference</th>
<th>Registered address on the CAF Investment Account</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Organisation name</th>
<th>Postcode</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Primary contact</th>
</tr>
</thead>
<tbody>
<tr>
<td>Mr</td>
</tr>
</tbody>
</table>

| Full forename (s) | |
|-------------------| |
|                   | |

<table>
<thead>
<tr>
<th>Surname</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Contact number</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Name of shareholder as shown on the certificate (see note A)</th>
<th>Name of security/stock and class/nominal value (see note B)</th>
<th>Total number of shares to be transferred</th>
<th>Number of certificates enclosed</th>
<th>Book cost (see note C)</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

**Note A** – Please write in the name of the shareholder exactly as it appears on the share certificate. If any of the names(s) are spelt incorrectly on the share certificate, please give the incorrect spelling.

**Note B** – Please write in the name of the certificated investment in full (e.g. XYZ Plc) followed by the class of stock/nominal value (e.g. ordinary share 25p). The class/nominal value will be shown on the certificate(s).

**Note C** – Please enter the original total amount you paid for your certificated investment (i.e. not amount per share). This would be the net contract value or ‘book cost’. This will assist us in preparing a valuation for you.

**Important**: Dealing instructions can only be accepted when the transfers have been completed and your shares have been re-registered into the Winterflood Client Nominees Limited.
We authorise the transfer of the above named investment(s) out of the above name into the name of Winterlood Client Nominees Limited. A minimum of two signatures is required.

**Authorised signature 1**

Name: ____________________________

Date: ___________ D M Y Y Y Y

**Authorised signature 2**

Name: ____________________________

Date: ___________ D M Y Y Y Y


CAF Financial Solutions Limited (CFSL) is authorised and regulated by the Financial Conduct Authority under registration number 189450. Authorisation can be checked on the financial services register at www.fca.org.uk

CFSL Registered office is 25 Kings Hill Avenue, Kings Hill, West Malling, Kent ME19 4TA. Registered under number 2771873. CFSL is a subsidiary of Charities Aid Foundation (registered charity number 268369).

Telephone calls may be monitored or recorded for security/training purposes. Lines are open Monday to Friday 9am – 5pm (excluding English bank holidays).
Instructions on how to complete your CREST Transfer Form

If you hold more than one share certificate for the same company’s shares assuming that they are in the same name, you only need to complete one CREST Transfer Form for the total holding. Otherwise a separate form will be required for each name on the certificate.

The form must be completed in its entirety to avoid being rejected by CREST.

Please follow the example below and complete the form in ink using BLOCK CAPITALS.

---

**CREST TRANSFER**

<table>
<thead>
<tr>
<th>Counter Location Stamp</th>
<th>Barcode or reference SDRN</th>
</tr>
</thead>
</table>

**Consideration Money**

**MINING STOCK PLC**

**ORDINARY SHARES OF 25 PENCE**

**THREE THOUSAND, FIVE HUNDRED SHARES**

In the name of

**XYZ CHARITY**

**24 THE AVENUE**

**LONDON**

**E1 2AB**

---

If we hereby transfer the above security out of the name(s) aforementioned into the name(s) of the undertaking’s own register of members.

Signature(s) of the transferor(s)

1. [Signature]

2. [Signature]

3. [Signature]

4. [Signature]

A duly founded company should execute this transfer unless the person or persons in whose name the security is transferred.

Stamp of depositary system-user

Winterflood Client Nominees Limited


**Date:**

**Participant ID**

**LLUAA**

**Member Account ID**

---

**CRESTCo Limited** is delivering this transfer at the direction and on behalf of the depositary system-user whose stamp appears herein and does not in any manner or in any event warrant or represent the validity, genuineness or correctness of the transfer instructions contained herein or the genuineness of the signature(s) of the transferor(s). The depositary system-user by delivering this transfer to CRESTCo Limited authorises CRESTCo to deliver this transfer for registration and agrees to be deemed for all purposes to be the person(s) actually on delivering this transfer for registration.

This form should be used only for a transfer of a certified unit or a security to a CREST member to be held by a CREST member in uncertificated form. It should be used only for conversion of a unit held by a CREST member into uncertificated form. The CREST rules require that this form be used for the transfer of a unit of a certified security to a CREST transfer to be held by that member in uncertificated form. Any such transfer on this form is exempt from stamp duty.
CREST TRANSFER

Counter Location Stamp  Barcode or reference SDRN

Above this line for completion by the depository system-user only.

Consideration Money

Certificate(s) lodged with Registrar (To be completed by Registrar)

Name of Undertaking

Description of Security

Please complete forms in type or in block capitals

Name(s) of registered holder(s) should be given in full, the address should be given where there is only one holder.

If the transfer is made by the registered holder(s) there also the name(s) and capacity (e.g. sole proprietor) of the person(s) making the transfer.

In the name(s) of

Designation (if any)

Balance certificate(s) required

1 We hereby transfer the above security out of the name(s) abovewon into the name(s) of the system-member set out below and request that the necessary entries be made in the undertaking's own register of members.

Signature(s) of the transferor(s)

1. 
2. 
3. 
4. 

A body corporate should ensure this transfer is noted in company and/or otherwise in accordance with applicable statutory requirements.

Winterflood Client Nominees Limited


Member of the London Stock Exchange and PLUS. Authorised and regulated by the Financial Conduct Authority (FCA register number 14558).

Stamp of depositing system-user:

Date:

Participant ID

LLUAA

Member Account ID

Reference to the Registrar in this form is to the registrar as registration agent of the unit register and the Registrar of Companies at Companies House.

CRESTCo Limited is delivering this transfer at the direction and on behalf of the depositing system-user whose stamp appears herein and does not in any manner or to any extent warrant or represent the validity, genuineness or correctness of the transfer instructions contained herein or the genuineness of the signature(s) of the transferor(s). The depositing system-user by delivering this transfer to CRESTCo Limited authorises CRESTCo to deliver this transfer for registration and agree to be deemed for all purposes to be the person(s) actually delivering this transfer for registration.

This form should be used only for a transfer of a unit of a security to a CREST member to be held by a CREST member in uncertificated form. It should be used only for the conversion of a unit held by a CREST member into uncertificated form.

The CREST rules require that this form be used for the transfer of a unit of a certificated security to a CREST member to be held by that member in uncertificated form. Any such transfer on this form is exempt from stamp duty.