

CAF INVESTMENT ACCOUNT

Certificated Share Transfer Form

Please note that you need to have an existing CAF Investment Account to be able to transfer your certificated investments. If you do not have one, then please download and complete an application form from www.cafonline.org/investresources. To transfer your existing certificated investments into your CAF Investment Account, please complete, sign and return this form, together with your share certificate(s) and Crest Transfer Form(s) by post to:

Saving and Investment team, CAF Financial Solutions Limited, 25 Kings Hill Avenue, Kings Hill, West Malling, Kent ME19 4TA.

Please complete this form in BLOCK CAPITALS. Transfers into the CAF Investment Account can only be made by the charitable organisation named on the account and who are also the beneficial owner of the certificated investments. Please note that a completed CREST Transfer Form will be required for each certified investment and share class that you hold.

Organisation details

CAF Investment Account client reference	<input type="text"/>			
Organisation name	Registered address on the CAF Investment Account			
<input type="text"/>	<input type="text"/>			
<input type="text"/>	<input type="text"/>			
<input type="text"/>	<input type="text"/>			
	Postcode			
<input type="text"/>	<input type="text"/>			
Primary contact				
<input type="checkbox"/> Mr	<input type="checkbox"/> Mrs	<input type="checkbox"/> Miss	<input type="checkbox"/> Other	<input type="text"/>
Full forename (s)	<input type="text"/>			
Surname	<input type="text"/>			
Contact number	<input type="text"/>			
Name of shareholder as shown on the certificate (see note A)	Name of security/ stock and class/ nominal value (see note B)	Total number of shares to be transferred	Number of certificates enclosed	Book cost (see note C)
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
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<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

Note A – Please write in the name of the shareholder exactly as it appears on the share certificate. If any of the names(s) are spelt incorrectly on the share certificate, please give the incorrect spelling.

Note B – Please write in the name of the certificated investment in full (e.g. XYZ Plc) followed by the class of stock/nominal value (e.g. ordinary share 25p) The class/nominal value will be shown on the certificate(s).

Note C – Please enter the original total amount you paid for your certificated investment (i.e. not amount per share). This would be the net contract value or 'book cost'. This will assist us in preparing a valuation for you.

Important: Dealing instructions can only be accepted when the transfers have been completed and your shares have been re-registered into the Winterflood Client Nominees Limited

We authorise the transfer of the above named investment(s) out of the above name into the name of Winterlood Client Nominees Limited. A minimum of two signatures is required.

Authorised signature 1

Date D D M M Y Y Y Y

Full name

Authorised signature 2

Date D D M M Y Y Y Y

Full name

The CAF Investment Account is operated by Winterflood Business Services. Winterflood Business Services is a registered trading name of Winterflood Securities Limited, registered in England No. 02242204. Registered Office: The Atrium Building, Cannon Bridge House, 25 Dowgate Hill, London EC4R 2GA. Member of the London Stock Exchange and NEX Exchange. Authorised and regulated by the Financial Conduct Authority (FCA register number 141455).

CAF Financial Solutions Limited (CFSL) is authorised and regulated by the Financial Conduct Authority under registration number 189450. Authorisation can be checked on the financial services register at www.fca.org.uk

CFSL Registered office is 25 Kings Hill Avenue, Kings Hill, West Malling, Kent ME19 4TA. Registered under number 2771873. CFSL is a subsidiary of Charities Aid Foundation (registered charity number 268369).

Telephone calls may be monitored or recorded for security/training purposes. Lines are open Monday to Friday 9am – 5pm (excluding English bank holidays).

Instructions on how to complete your CREST Transfer Form

If you hold more than one share certificate for the same company's shares assuming that they are in the same name, you only need to complete one CREST Transfer Form for the total holding. Otherwise a separate form will be required for each name on the certificate.

The form must be completed in it's entirety to avoid being rejected by CREST.

Please follow the example below and complete the form in ink using BLOCK CAPITALS

<p>CREST TRANSFER</p>	Counter Location Stamp	Barcode or reference SDRN
	Above this line for completion by the depositing system-user only.	
<p>Name of Undertaking</p> <p>Description of Security</p> <p>Please complete form in type or in block capitals</p> <p>Name(s) of registered holder(s) should be given in full; the address should be given where there is only one holder.</p> <p>If the transfer is not made by the registered holder(s) insert also the name(s) and capacity (e.g. executor(s) of the person(s) making the transfer.</p>	Consideration Money	
	<p>MINING STOCK PLC</p>	
	<p>ORDINARY SHARES OF 25 PENCE</p>	
	<p>THREE THOUSAND, FIVE HUNDRED SHARES</p>	
<p>Please sign Here</p>	Certificate(s) lodged with Registrar (To be completed by Registrar)	
	<p>Figures</p> <p style="text-align: right; font-size: large;">3,500</p>	
<p>Signature(s) of the transferor(s)</p> <p>1. <i>[Signature]</i></p> <p>2. <i>Algar</i></p> <p>3. _____</p> <p>4. _____</p> <p>A body corporate should execute this transfer under its common seal or otherwise in accordance with applicable statutory requirements.</p>	<p>In the name(s) of</p> <p style="text-align: center; font-size: large;">XYZ CHARITY 24 THE AVENUE LONDON E1 2AB</p>	
	<p>Designation (if any)</p> <p>Balance certificate(s) required</p>	
<p>Full name(s) of the person(s) to whom the security is transferred.</p> <p>Such person(s) must be a system-member.</p>	<p>I/We hereby transfer the above security out of the name(s) aforesaid into the name(s) of the system-member set out below and request that the necessary entries be made in the undertaking's own register of members.</p>	
	<p>Stamp of depositing system-user</p> <div style="border: 1px solid black; padding: 5px;"> <p style="text-align: center; font-weight: bold; font-size: large;">Winterflood</p> <p style="text-align: center; font-size: x-small;">BUSINESS SERVICES</p> <p style="text-align: center; font-size: x-small;">Winterflood Business Services is a registered trading name of Winterflood Securities Limited, registered in England No. 02242204. Registered Office: The Atrium Building, Cannon Bridge House, 25 Dowgate Hill, London, EC4R 2GA. Member of the London Stock Exchange and PLUS. Authorised and regulated by the Financial Conduct Authority (FCA register number 141455).</p> </div> <p>Date:</p>	
<p>Reference to the Registrar in this form means the registrar or registration agent of the undertaking and the Registrar of Companies at Companies House.</p>	<p>Participant ID</p> <p style="text-align: center; font-size: large; font-weight: bold;">LLUAA</p> <p>Member Account ID</p>	
	Winterflood Client Nominees Limited	
<p>CRESTCo Limited is delivering this transfer at the direction and on behalf of the depositing system-user whose stamp appears herein and does not in any manner or to any extent warrant or represent the validity, genuineness or correctness of the transfer instructions contained herein or the genuineness of the signature(s) of the transferor(s). The depositing system-user by delivering this transfer to CRESTCo Limited authorises CRESTCo to deliver this transfer for registration and agrees to be deemed for all purposes to be the person(s) actually so delivering this transfer for registration.</p> <p>This form should be used only for a transfer of a certified unit of a security to a CREST member to be held by a CREST member in uncertificated form. It should be used only for conversion of a unit held by a CREST member into uncertificated form.</p> <p>The CREST rules require that this form be used for the transfer of a unit of a certificated security to a CREST member to be held by that member in uncertificated form. Any such transfer on this form is exempt from stamp duty.</p>		

**CREST
TRANSFER**

Counter Location Stamp	Barcode or reference SDRN
Above this line for completion by the depositing system-user only.	

Name of Undertaking

Description of Security

Please complete form in type or in block capitals

Name(s) of registered holder(s) should be given in full; the address should be given where there is only one holder.

If the transfer is not made by the registered holder(s) insert also the name(s) and capacity (e.g. executor(s) of the person(s) making the transfer.

Consideration Money	Certificate(s) lodged with Registrar (To be completed by Registrar)

	Figures
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In the name(s) of	Designation (if any)
	Balance certificate(s) required

I/We hereby transfer the above security out of the name(s) aforesaid into the name(s) of the system-member set out below and request that the necessary entries be made in the undertaking's own register of members.

Signature(s) of the transferor(s)

1.
2.
3.
4.

A body corporate should execute this transfer under its common seal or otherwise in accordance with applicable statutory requirements.

Stamp of depositing system-user

Winterflood

BUSINESS SERVICES

Winterflood Business Services is a registered trading name of Winterflood Securities Limited, registered in England No. 02242204. Registered Office: The Atrium Building, Cannon Bridge House, 25 Dowgate Hill, London, EC4R 2GA. Member of the London Stock Exchange and PLUS. Authorised and regulated by the Financial Conduct Authority (FCA register number 141455).

Date:

Please sign Here

Full name(s) of the person(s) to whom the security is transferred.

Such person(s) must be a system-member.

<p>Winterflood Client Nominees Limited</p>	<p>Participant ID</p> <p style="font-size: 24px;">LLUAA</p> <p>Member Account ID</p>
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Reference to the Registrar in this form means the registrar or registration agent of the undertaking not the Registrar of Companies at Companies House.

CRESTCo Limited is delivering this transfer at the direction and on behalf of the depositing system-user whose stamp appears herein and does not in any manner or to any extent warrant or represent the validity, genuineness or correctness of the transfer instructions contained herein or the genuineness of the signature(s) of the transferor(s). The depositing system-user by delivering this transfer to CRESTCo Limited authorises CRESTCo to deliver this transfer for registration and agrees to be deemed for all purposes to be the person(s) actually so delivering this transfer for registration.

This form should be used only for a transfer of a certified unit of a security to a CREST member to be held by a CREST member in uncertificated form. It should be used only for conversion of a unit held by a CREST member into uncertificated form.

The CREST rules require that this form be used for the transfer of a unit of a certificated security to a CREST member to be held by that member in uncertificated form. Any such transfer on this form is exempt from stamp duty.