



# Declaration

Please read, complete and sign this section.

We hereby certify that:

- This transfer constitutes no change in beneficial ownership of the relevant investment(s).
- We declare that this form has been completed accurately, to the best of our knowledge and belief. We have read, understand and agree to abide by the CAF Investment Account Terms and Conditions of Business Incorporating the Winterflood Business Services Terms and Conditions of Business and consent to the use of our personal information as set out therein.

Authorised signature 1

Date | D | D | M | M | Y | Y | Y | Y |

Full name

Authorised signature 2

Date | D | D | M | M | Y | Y | Y | Y |

Full name

# Broker instructions

Name of the current broker

Account name

Account number

Please accept this as my instruction to transfer our assets to Winterflood Business Services

- Our entire account and all its holdings and cash (please provide book costs as per Note A below)
- Only the stocks listed below

Name of stock to be transferred	Quantity	Book cost (see note A)

**Note A:** Please enter the original total amount you paid for your certificated investment (ie, not amount per share). This would be the net contract value or 'book cost'. This will assist us in preparing a valuation for you.

These transfers are to be done in:

- Cash only, value £  (by selecting this option, your broker will sell your existing assets and transfer the proceeds as cash)
- Securities (including all residual cash if you have elected to transfer your entire account)

# Declaration

Please read, complete and sign this section for your current broker (to be signed in accordance with the signature mandate held by your broker).

Please accept this as our instruction to transfer our assets as detailed overleaf to Winterflood Business Services with immediate effect:

- You may provide any requested information relating to our account to Winterflood Business Services.
- You may act upon all instructions from Winterflood Business Services.
- Any cash balance in our account with you should be sent to Winterflood Business Services.
- You may deduct any charges for the transfer as specified in your Terms and Conditions of Business.

Authorised signature 1

\_\_\_\_\_

Date                        

Full name

\_\_\_\_\_

Authorised signature 2

\_\_\_\_\_

Date                        

Full name

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The CAF Investment Account is operated by Winterflood Business Services. Winterflood Business Services is a registered trading name of Winterflood Securities Limited, registered in England No. 02242204. Registered Office: The Atrium Building, Cannon Bridge House, 25 Dowgate Hill, London EC4R 2GA. Member of the London Stock Exchange and NEX Exchange. Authorised and regulated by the Financial Conduct Authority (FCA register number 141455).

**CAF Financial Solutions Limited (CFSL)** is authorised and regulated by the Financial Conduct Authority under registration number 189450. CFSL Registered office is 25 Kings Hill Avenue, Kings Hill, West Malling, Kent ME19 4TA. Registered under number 2771873.

CFSL is a subsidiary of Charities Aid Foundation (registered charity number 268369).

Telephone calls may be monitored or recorded for security/training purposes. Lines are open Monday to Friday 9am – 5pm (excluding English bank holidays).