

CAF Investment Fund Trust Application Form

Please complete this form if you are a Trust governed by a Trust Deed.

Please complete this application form in **BLOCK CAPITALS** and return to: IFSL, Marlborough House, 59 Chorley New Road, Bolton BL1 4QP or to your financial adviser, along with the relevant governing documents as detailed in appendix A.

Please select one of the following categories:

- Charitable Organisation Registered Charity
 Community Land Trust Private Client Donor

SECTION A - CHARITY DETAILS - Please complete this section in full as this is mandatory

Organisation name

Primary contact name

Designation

Charity number/HMRC exemption number

If your charity is unable to supply your Charity Commission Registration Number, then further evidence of the charitable purpose of the organisation will also be required. This could be in the form of an HMRC exemption number (which must be accompanied by a copy of the documentation from HMRC which clearly states the charity's eligible status as tax-exempt). A copy of the charity's Trust Deed will also be accepted.

Registered address

Postcode

Existing holder ID / account number (if known)

Correspondence address

Postcode

Telephone number

Email address

SECTION B - TRUSTEE DETAILS

If there are further trustees please include their details under separate cover.

First trustee name

Permanent residential address

Postcode

Date of birth

D | D | M | M | Y | Y | Y | Y

Telephone number

Email address

Second trustee name

Permanent residential address

Postcode

Date of birth

D | D | M | M | Y | Y | Y | Y

Telephone number

Email address

Third trustee name

Permanent residential address

Postcode

Date of birth

D | D | M | M | Y | Y | Y | Y

Telephone number

Email address

Fourth trustee name

Permanent residential address

Postcode

Date of birth

D | D | M | M | Y | Y | Y | Y

Telephone number

Email address

How would you like to receive documentation related to your investments?

Post

Email

SECTION C - PROTECTOR OR CONTROLLER OF THE TRUST

This is a person separate to the trustees appointed to make changes to the Trust or Trustees. Please mark 'none' if the Trust doesn't have a Protector or Controller.

Name

Permanent residential address

Postcode

Date of birth

D | D | M | M | Y | Y | Y | Y

SECTION D - SETTLOR OF THE TRUST

This is the person who sets up the Trust and transfers control of the asset to the Trustees for the benefit of the beneficiaries.

Name

Permanent residential address

Postcode

Date of birth

D | D | M | M | Y | Y | Y | Y

SECTION E - FINANCIAL ADVISER'S DETAILS - to be completed by your financial adviser if applicable

Adviser's name/firm

Adviser's email address

FCA/FRN number

Agency code (if known)

Please tick all which apply

- Cancellation rights apply Discretionary business Advised business
 Execution only Portfolio management services

Adviser's firm stamp

SECTION F - INCOME INSTRUCTION & BANK DETAILS - please tick only one option. This section is mandatory

When providing bank details please ensure these are accurate as we cannot accept any responsibility for payments made to incorrect account details which have been provided here.

REINVEST INCOME
(accumulation shares will be used where available) **OR** **PAY INCOME TO MY BANK ACCOUNT**
(see below)

Cheques cannot be sent for dividend payments, if bank details are not provided income will be reinvested.

Name of bank/building society _____

Name of account holder _____

Bank account number x | x | x | x | x | x | x | x | x Sort code x | x | - | x | x | - | x | x

Roll number / building society reference _____

SECTION G - INVESTMENT DETAILS - please provide details of your investment choices. This section is mandatory

Fund name	SEDOL/ISIN of Fund	Lump Sum Investment	Monthly Amount
1.			
2.			
3.			
4.			
5.			
TOTAL			

Lump Sum: Payment can be sent via bank transfer to the 'IFSL - Client Account' Sort code 40-05-30 Account no. 54597761.
Monthly: Please ensure that Section H is fully completed to allow us to process your application.

SECTION H - MANDATE DETAILS - INSTRUCTION TO YOUR BANK OR BUILDING SOCIETY TO PAY BY DIRECT DEBIT

To: The Manager _____ Bank/building society _____

Address _____

Postcode _____

Name(s) of Account Holder(s) _____

Bank/Building Society Account Number x | x | x | x | x | x | x | x | x

Branch Sort Code x | x | - | x | x | - | x | x

Please be aware that any bank details provided in this section will override any existing bank details that we may hold for you, unless otherwise stated.

Service User: Investment Fund Services Ltd (IFSL),
Marlborough House, 59 Chorley New Road, Bolton BL1 4QP

Service User No: 2 4 6 5 6 6

Reference: (to be completed by IFSL)

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Instruction to your Bank or Building Society: Please pay IFSL Direct Debits from the account detailed on this instruction subject to the safeguards assured by the Direct Debit Guarantee. I understand that this instruction may remain with IFSL and if so, details will be passed electronically to my Bank/Building Society.

Print Name _____

Signature _____ Date D | D | M | M | Y | Y | Y | Y

We will write to you to confirm the date on which your Direct Debit will be collected.



SECTION I - THE DIRECT DEBIT GUARANTEE - please retain for your own records

Banks and Building Societies may not accept Direct Debit Instructions for some types of account. This guarantee should be detached and retained by the payer.

- This Guarantee is offered by all banks and building societies that accept instructions to pay Direct Debits
- If there are any changes to the amount, date or frequency of your Direct Debit IFSL will notify you 10 days in advance of your account being debited or as otherwise agreed. If you request IFSL to collect a payment, confirmation of the amount and date will be given to you at the time of the request

- If an error is made in the payment of your Direct Debit, by IFSL or your bank or building society, you are entitled to a full and immediate refund of the amount paid from your bank or building society
- If you receive a refund you are not entitled to, you must pay it back when IFSL asks you to
- You can cancel a Direct Debit at any time by simply contacting your bank or building society. Written confirmation may be required. Please also notify us.



SECTION J - AUTHORISED SIGNATORIES

Please provide an original or certified copy of the most up to date signatory lists and current Trust Deed.

SECTION K - PRIVACY NOTICE

We're committed to processing the personal data that you provide to us in line with the latest data protection and data privacy legislation in force within the United Kingdom. We'll use your information in order to provide the service detailed in this Application Form and to administer your investments, communicate with you and provide information on our products and services when you request it, to meet our legal obligations and for the purposes of fraud prevention.

Our full Privacy Notice

It's important that you understand how the personal information you give us will be used. We strongly advise that you read our full Privacy Notice, which you can find on our website at www.ifsifunds.com, or you can ask us for a copy.

SECTION L - MARKETING PREFERENCES

Please tick the box set out below if you are happy for your data to be used by CAF to send you marketing information about its products and services that it considers may be of interest to you.

By ticking this box I/we confirm that I/we provide my/our consent to CAF using my/our data (as such term is defined below) for these purposes.

Data means: Registered name, registered address including postcode, correspondence address including postcode, account number, email address, telephone number, and deceased status. The data will be processed by CAF in accordance with their privacy policy.

You can withdraw your consent for CAF using your personal data to send you marketing information at any time by contacting IFSL.

Please only tick the box where all applicants to a joint application provide their consent.

**SECTION M - DECLARATION - please ensure that you read and sign this section as this is mandatory.
All trustees must sign**

EACH TRUSTEE MUST SIGN THIS SECTION. WHERE A TRUSTEE IS A COMPANY, THIS MUST BE SIGNED IN ACCORDANCE WITH YOUR MOST UP TO DATE SIGNATORY LIST. IF REQUIRED, PLEASE ADD FURTHER SIGNATURES ON A SEPERATE DOCUMENT AND ATTACH TO THIS APPLICATION FORM.

- I/We declare that I/we have read and understood the relevant Key Investor Information Document (KIID), the Supplementary Information Document (SID) and the IFSL Terms & Conditions and agree to be bound to these. I/We understand that these documents form part of the terms and conditions of my/our investment in the fund(s) and are available at www.ifsifunds.com
- I am aware that the full prospectus, which includes the risk warnings relevant to the fund(s), is available from www.ifsifunds.com
- To the best of my/our knowledge and belief, all statements made in this application form are true and correct and I/we shall inform IFSL immediately of any changes herein
- I/We declare that I am/we are 18 years of age or over and I/we wish to buy shares as set out above at the buying price prevailing at the first valuation point following receipt of this completed application
- I/We declare that I am not/we are not US Person(s) (either a resident or citizen) and acknowledge that US Persons cannot hold shares in the fund(s)
- I/We understand that you may require additional information from me/us in accordance with the money laundering regulations and I/we acknowledge that electronic data sources may be used to check my/our identity under the money laundering regulations
- The shares will, at all times, be held on behalf of the organisation
- The income is for charitable purposes only
- I/We confirm that this application is being made on behalf of a charitable organisation and that I/we will inform IFSL if it ceases to be charitable
- I/We consent to our shares being compulsorily redeemed in accordance with the Prospectus in the event that these statements are not correct or become incorrect in the future
- I/We have read the IFSL Privacy Notice concerning the use of my/our personal data

Primary contact name

Primary contact signature

Date

D | D | M | M | Y | Y | Y | Y

Trustee name

Trustee signature

Date

D | D | M | M | Y | Y | Y | Y

Trustee name

Trustee signature

Date

D | D | M | M | Y | Y | Y | Y

Trustee name

Trustee signature

Date

D | D | M | M | Y | Y | Y | Y

Trustee name

Trustee signature

Date

D | D | M | M | Y | Y | Y | Y

Appendix A: Identity Verification Documents for Charities

Documents we will accept if you are:

A Trust:	<ul style="list-style-type: none"> • The Trust Deed or Declaration of Trust • Any subsequent deeds dealing with any changes of trustees
A company limited by guarantee:	<ul style="list-style-type: none"> • Certificate of Incorporation • Memorandum and Articles of Association
An unincorporated association:	<ul style="list-style-type: none"> • The written constitution of the association • Any rules governing the association
A Charitable Incorporated Organisation (CIO) or Scottish Incorporated Organisation (SCIO):	<ul style="list-style-type: none"> • The written constitution of the organisation
A body incorporated by Royal Charter:	<ul style="list-style-type: none"> • Copy of the relevant Royal Charter
A body constituted by Act of Parliament:	<ul style="list-style-type: none"> • Written confirmation of the Act concerned
A Parochial Church Council (PCC):	<ul style="list-style-type: none"> • Diocesan records confirming the creation of the PCC
Another religious body or place of worship (and not governed by any other documents in this section):	<ul style="list-style-type: none"> • Written confirmation of existence from the regional headquarters of the religious denomination concerned

In all cases IFSL wish to verify the Charity itself, regardless of how the investment is registered. We understand that charitable bodies may have been constituted by one of a number of methods. In all cases where a Scheme issued by the Charity Commission or Court has superseded the original governing document then this is also required.

Controller verification:

Where an investment is held in the name of the charitable organisation, up to four individuals can also be registered with authority to provide us with instructions. These individuals are referred to as 'controllers' and may need to have their identity verified.*

We will try to verify the identity of such individuals using an electronic verification system first. However, if this is not possible, we may then ask you to provide two pieces of documentation for the person concerned.

*This will also apply if the investment is registered in the names of individuals and not the charitable organisation itself (e.g. if individual trustees hold the investment in their joint names).