

CAF PRIVATE CLIENTS

CAF Charitable Trust Guide to Investment Options

The CAF Charitable Trust enables gifted assets to be invested to support a donor's philanthropy. Donors can ask us to consider investing some or all of the assets comprising their CAF Charitable Trust in any combination of the investments shown here in order to support their giving.

Where we accept your investment request, we will aim to action it as soon as reasonably practical but we are unable to accept responsibility or liability for achieving a particular price or value. For further information please see our terms and conditions.

All CAF Charitable Trust donors have the following list of available options. Further investment options are available to premier service donors to provide enhanced investment flexibility, as listed in this document. Please contact a member of our private client team if you wish to upgrade to the premier service or if you would like more information on the available investments and any restrictions that may apply. CAF reserves the right to amend these options from time to time.

Permitted Investment
CAF investment funds for charities: <ul style="list-style-type: none">■ CAF Fixed Interest Fund■ CAF International Equity Fund■ CAF UK Equitrack Fund■ CAF Alternative Strategies Fund CAF Socially Responsible Portfolio: <ul style="list-style-type: none">■ BMO Responsible UK Equity Fund■ BMO Responsible UK Income Fund■ BMO Responsible Global Equity Fund■ BMO Responsible Sterling Corporate Bond Fund
Common Investment Funds (CIFs), Charity Authorised Investment Funds (CAIFs) and funds specific to the charity sector structured as OEICs (Please note that investment in Common Deposit Funds is not permitted)
BlackRock Charities UK Bond Fund (Fixed Income CIF)
M&G Short Dated Corporate Bond Fund
Low cost passive tracker funds
UK equity trackers: <ul style="list-style-type: none">■ iShares UK Equity Index Fund■ Legal and General UK Index Trust■ Vanguard FTSE UK All Share Index Unit Trust Global equity trackers: <ul style="list-style-type: none">■ iShares Developed World Index Fund■ Legal and General International Index Trust■ Vanguard FTSE Developed World ex UK Equity Index Fund Fixed income trackers: <ul style="list-style-type: none">■ iShares Corporate Bond Index Fund■ Legal and General All Stocks Gilt Index Trust■ Vanguard Global Bond Index Fund UK equity trackers

continued overleaf

Premier Service

CAF Charitable Trust donors using the premier service may additionally request investment in the types of investment listed below. We do not maintain a list of approved assets in each category. We will however check the eligibility of an investment upon request.

Investment in the following shares and funds may be permitted for the Premier Service, subject to our being able to deal via CAF's existing brokerage accounts and each investment having authorisation and/or recognition by the Financial Conduct Authority (FCA), and subject to any additional notes set out below:

Permitted Investments – Premier Service only
UK listed Unit Trusts and Investment Trusts
OEICs and SICAVs that are UCITS compliant and UK based NURS
ETFs that are UK listed, unleveraged and physically backed or fully replicated
Shares and Corporate Bonds issued by companies which are constituents of the FTSE 350 (i.e. FTSE 100 or FTSE 250), S&P500 and NASDAQ
<ul style="list-style-type: none">■ Subject to CAF's expected levels of diversification for each CAF Charitable Trust■ Corporate bonds should be long-term and in accordance with CAF's acceptable credit ratings at the time of purchase
UK & US Government stock
<ul style="list-style-type: none">■ US Government stock would normally be expected for CAF Charitable Trusts that expect to donate solely in USD
Supranational bonds
<ul style="list-style-type: none">■ Should be long-term and in accordance with CAF's acceptable credit ratings at the time of purchase
Term Cash Deposits
<ul style="list-style-type: none">■ We will also consider making term cash deposits in GBP, USD or EUR■ Currency speculation is not permitted foreign currency deposits are only allowed to meet future giving plans in that currency

CAF Managed Portfolio Service

Subject to completion by the donor of the appropriate CAF application forms and selection of an investment strategy which is appropriate to the donor's philanthropic objectives, all or part of the funds comprising the donor's CAF Charitable Trust may be managed in the CAF Managed Portfolio Service. The service is managed by CAF's chosen investment manager which is currently Octopus Investments.

The information contained in this document was accurate as at September 2020. Permissible investments may change quickly in response to market, legal or other considerations. Your Private Client Manager will be able to discuss the latest position with you.

As stated in the CAF Charitable Trust Investment Options Terms and conditions Clause 5.4, CAF's decision as to whether or not any Investment Request is acceptable shall be made at CAF's absolute discretion.

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Telephone calls may be monitored or recorded for security/training purposes.
Lines are open Monday to Friday, 9am to 5pm (excluding bank holidays).